

## Vulnerability of California specialty crops to projected mid-century temperature changes

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**Abstract** Increasing global temperatures are likely to have major impacts on agriculture, but the effects will vary by crop and location. This paper describes the temperature sensitivity and exposure of selected specialty crops in California. We used literature synthesis to create several sensitivity indices (from 1 to 4) to changes in winter minimum and summer maximum temperature for the top 14 specialty crops. To estimate exposure, we used seasonal period change analysis of mid-century minimum and maximum temperature changes downscaled to county level from CMIP5 models. We described crop vulnerability on a county basis as (crop sensitivity index × county climate exposure × area of crop in county); individual crop vulnerabilities were combined to create an aggregate index of specialty crop vulnerability by county. We also conducted analyses scaled by crop value rather than area, and normalized to

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total specialty crop area in each county. Our analyses yielded a spatial assessment highlighting seasons and counties of highest vulnerability. Winter and summer vulnerability are correlated, but not highly so. High-producing counties (e.g., Fresno County in the San Joaquin Valley) are the most vulnerable in absolute terms, while northern Sacramento Valley counties are the most vulnerable in relative terms, due to their reliance on heat-sensitive perennial crops. Our results illustrate the importance of examining crop vulnerability from different angles. More physiological and economic research is needed to build a comprehensive picture of specialty crop vulnerability to climate change.

## 1 Introduction

### 1.1 Background on specialty crops in California

In California, specialty crops—defined by the USDA as all fruits, nuts, vegetables, and nursery crops—account for the majority of agricultural value. To date, efforts to understand the effect of climate change on crop production have focused mostly on field crops (e.g., grains, dry beans, and oilseeds), which provide the majority of calories consumed by humans; however, specialty crops are crucial for adequate nutrition and dietary diversity. This study aims to contribute to the growing body of literature on climate risks to specialty crop production in the USA. Specifically, we examine at the county scale where California specialty crop production is most vulnerable to increasing winter minimum and summer maximum temperatures.

Specialty crops present a modeling challenge because of their diversity. They are from different botanical families; they are annuals, biennials and perennials; they are consumed as fruits, nuts, leaves, stems, and tubers; and they employ a wide range of cultivation practices. The USDA recognizes 208 different specialty crops, not including nursery crops (Agricultural Marketing Service 2014). In this assessment, we limited our analysis to California's top 14 specialty crops by value (Table 1): grapes, almonds, strawberries, lettuce, walnuts, citrus, pistachios, tomatoes, broccoli and kin, stone fruit, carrots, melons and cucumbers, onions and garlic, and avocados. We considered crops in the same species together, crops in the same genus either together or separately depending on their cultivation, and crops in different genera separately. Together, these 14 crops accounted for 88% of specialty crop value in California in 2012 (Online Resource 1, Fig. S1).

We chose to focus on California because it accounts for more than half of specialty crop production nationwide. For many specialty crops, such as wine grapes, strawberries, leaf lettuce, garlic, and broccoli, California's share approaches or exceeds 90% of US production (Starrs and Goin 2010). This dominance is due to California's Mediterranean climate (unique in North America), in combination with large-scale delivery systems for irrigation water. The largest production centers are California's Central Valley and arable coastal regions.

### 1.2 Previous work on specialty crops and climate change

Despite increasing attention on potential impacts of climate change on specialty crops in the past decade, especially in California, many questions remain. There are several obstacles to creating a comprehensive picture of how specialty crops will fare under future climatic

**Table 1** Production statistics and temperature sensitivity values (1 = low, 4 = high) for specialty crops in California

Value rank (2012)	Crop name	Scientific name	Total bearing acres	Total value (thousands of 2012 dollars)	Average value per acre (\$1000s)	% of total value	T sensitivity	
							Sum T <sub>max</sub>	Win T <sub>min</sub>
1	Grapes	<i>Vitis vinifera</i>	855,000	\$5,182,968	\$6.06	18.5%	1, 3 <sup>a</sup>	2
2	Almonds	<i>Prunus dulcis</i>	820,000	\$4,816,860	\$5.87	18.1%	3	4
3	Strawberries	<i>Fragaria × ananassa</i>	39,000	\$2,130,637	\$54.63	8.1%	3	2
4	Lettuce (head, leaf, Romaine)	<i>Lactuca sativa</i>	232,842	\$1,564,007	\$6.68	6.6%	4	2
5	Walnuts	<i>Juglans regia</i>	270,000	\$1,505,910	\$5.58	6.0%	2	4
6	Oranges, lemons, grapefruit, mandarins	<i>Citrus × sinensis</i> ; <i>Citrus × limon</i> ; <i>Citrus × paradisi</i> ; <i>Citrus reticulata</i>	293,387	\$1,425,038	\$4.74	5.6%	1	1
7	Pistachios	<i>Pistacia vera</i>	182,000	\$1,438,110	\$7.90	4.9%	2	4
8	Tomatoes	<i>Solanum lycopersicum</i>	295,247	\$1,205,418	\$4.08	4.6%	2	1
9	Broccoli, cauliflower, cabbage	<i>Brassica oleracea</i>	148,449	\$920,660	\$6.32	4.2%	3	1
10	Peaches, plums, apricots, cherries, tart cherries	<i>Prunus persica</i> ; <i>P. domestica</i> ; <i>P. armeniaca</i> ; <i>P. avium</i> ; <i>P. cerasus</i>	176,605	\$987,145	\$5.58	3.8%	3	4
11	Carrots	<i>Daucus carota</i>	65,400	\$512,849	\$7.84	2.1%	2	1
12	Onions and garlic	<i>Allium cepa</i> ; <i>Allium sativa</i>	59,003	\$455,504	\$6.61	1.8%	1	1
13	Honeydews, cantaloupes, watermelon, cucumbers	<i>Cucumis melo</i> ; <i>Citrullus lanatus</i> ; <i>Cucumis sativus</i>	67,694	\$346,458	\$5.09	1.6%	2	1
14	Avocados	<i>Persea americana</i>	59,814	\$381,975	\$6.39	1.4%	3	1

T sensitivity refers to the relative magnitude (1=low, 4=high) of negative impacts on the crop from increased temperatures

Data from USDA NASS 2012 Statistical Bulletins #1032, 1033, and 1043

<sup>a</sup> Wine grapes were assigned a T<sub>max</sub> sensitivity of 3; raisin and table grapes were assigned a T<sub>max</sub> sensitivity of 1; and they were calculated as two independent crop types

conditions, including (1) the diversity of specialty crops and their cultivation practices; (2) the uncertainty of climate change impacts on water resources at a regional scale; (3) the interaction of climate with other stressors such as pests and disease; and (4) uncertainties in adaptive capacity, for example, the economic feasibility of shifts in cropping patterns.

One of the best-studied aspects of this problem is how increasing temperatures (specifically, winter T<sub>min</sub>) may harm perennial crops that depend upon a minimum number of accumulated chill-hours to achieve optimum yields: for example, almonds, walnuts, pistachios, and stone fruit. This is of particular concern because T<sub>min</sub> is increasing significantly faster than T<sub>max</sub> in California (Cordero et al. 2011). Several studies have combined climate model output with historically observed temperature-yield relationships to conclude that by mid- to late century, most current locations of perennial specialty crop production in California will no longer be climatically suitable for many key crops (Lobell et al. 2006; Baldocchi and Wong 2008; Luedeling et al. 2009; Lobell

and Field 2011; Hannah et al. 2013; Baldocchi and Waller 2014). For annual crops, temperature–yield relationships are more complex, including both negative effects (e.g., yield losses caused by higher nighttime respiration) and positive effects (e.g., longer growing seasons). Modeling studies have produced mixed results (Lobell et al. 2007; Deschenes and Kolstad 2011; Lee et al. 2011; Lee and Sumner 2015), with some crops such as melons and tomatoes projected to expand their area of cultivation.

Predicting how changes in precipitation and/or water availability may affect specialty crop production in California is even more complex than predicting temperature effects, not only due to the inherent difficulty in modeling precipitation, snowpack, and runoff at a regional scale (Pierce et al. 2013) but because available water for California crops depends greatly on infrastructure and policy. The large majority of specialty crop area in California is irrigated, and approximately half of this irrigation is supplied by groundwater (Cooley et al. 2015). Thus, unlike rainfed agriculture, California specialty crops would not be expected to respond dramatically to precipitation changes in the short to medium term. In fact, in 2014–2015, which was an extreme drought year with a statewide precipitation deficit of 48%, total crop and livestock production in California each fell by less than 3%, due in part to increasing reliance on groundwater (Howitt et al. 2015).

Much work has been done and is underway to assess how climate change will affect water resources in California and across the Southwest (CCTAG 2015; Dettinger et al. 2015). Though predicted changes in the total amount of precipitation are currently equivocal, modeling studies consistently show that climate change will significantly increase potential evapotranspiration in California's specialty crop growing regions, and that changing irrigation practices can partly but not wholly compensate (Purkey et al. 2008; Joyce et al. 2011; Mehta et al. 2013). These analyses generally use a high degree of aggregation (e.g., grouping all annual crops together), so the effect of changes in water supply and demand for each particular crop remain to be explored in more detail.

Even more challenging is predicting how climate change may affect specialty crops via changes in extreme events and pest and disease pressure. A serious adverse weather event (Lobell et al. 2011) or widespread pest outbreak (Trumble and Butler 2009) can have consequences in the tens of millions of dollars for California agriculture, but forecasts of climate-driven changes in these events remain highly uncertain. For example, some crop pathogens are favored by warm temperatures, others by cool temperatures; and pathogens respond differently to precipitation depending on its exact timing. Climate change may increase or decrease weed competitiveness, depending on many factors (Korres et al. 2016).

Informed by this diversity of previous efforts, we take a somewhat different approach. Most agricultural planning and advising happens on the county scale—for example, University of California Cooperative Extension crop advisors are county-based. USDA agricultural statistics are generally collected at the county scale, and commodity boards (such as the Almond Board of California) also tend to organize resources and outreach on a county basis. Thus, a county-by-county map of specialty crop climate vulnerabilities can help prioritize future research and adaptation efforts.

Due to the inherent difficulties in predicting how specialty crop production will be affected by changes in precipitation, water availability, extreme events, and pests and diseases, we chose to focus our analysis on the spatial variability of temperature effects. Instead of quantifying impacts per se, we aim to highlight which counties and which production systems may most benefit from further research and/or adaptation assistance. We acknowledge that

temperature is only one aspect of climate change; nevertheless, temperature changes may have profound effects on California specialty crop production.

## 2 Methods

### 2.1 Basic approach and crop sensitivity rankings

The basis of our analysis is a relative ranking of temperature sensitivity (specifically, sensitivity to summer  $T_{\max}$  and winter  $T_{\min}$ ) of California's top 14 specialty crops. Table 2 summarizes our literature review on the temperature requirements of each crop (divided into perennial and annual crops, and therein listed in descending order of 2012 value). Online

**Table 2** Summary of literature review on temperature relationships of California specialty crops

Crop	Temperature relationships
Grapes	Table and wine grape yield may be relatively unchanged by 2 °C T increase (Lobell et al. 2006; Lobell and Field 2011), but T affects fruit quality in wine grapes (Nicholas et al. 2011). Area suited for high-quality wine grapes may decrease 70% by 2050 (Hannah et al. 2013).
Almonds	Lower chilling requirements (200–400 h) than other <i>Prunus</i> , thus may remain productive in many parts of the Central Valley (Luedeling et al. 2009), but winter fog loss is an important unknown (Baldocchi and Waller 2014).
Walnuts	High chilling requirements (800–1000 h), which may no longer be attainable in Central Valley by mid- to late century (Lobell et al. 2006; Luedeling et al. 2009), also sensitive to heat during fruit-set in late spring (Baldocchi and Wong 2008)
Citrus	Subtropical and prone to frost damage (Geisel and Unruh 2003); may benefit from a reduction in freezing events due to climate change
Pistachios	High chilling requirement (800–900 h); Central Valley may no longer be suitable by late century (Luedeling et al. 2009) unless lower-chill cultivars are developed (Kallsen et al. 2009). Pistachios bear in alternate years, making modeling difficult (Lobell et al. 2007).
Stone fruit	Most varieties have high chilling requirements; adverse impacts of warming already seen (Baldocchi and Wong 2008). Lobell and Field (2011) say that among CA's 20 most-valuable perennial crops, "cherries are likely to be the most negatively affected by warming."
Avocados	Subtropical; can be killed by frost. May benefit from warm winters. Lobell et al. (2006) predicted that summer warming will decrease CA avocado yields 45% by 2060, based on historical correlations, but the mechanism for this is not understood (Lobell et al. 2007).
Strawberries	Perennial grown as annual; bears in summer but prefers cool coastal climate. Lobell and Field (2011) predicted climate change would decrease CA strawberry production 10% by 2050, while Deschenes and Kolstad (2011) anticipated a 43% decline by 2070.
Lettuce	Cool-season annual with narrow T preferences (Turini et al. 2011); excessive warmth can cause bitterness, bolting, and tipburn. Unclear if lettuce production may be harmed by climate change (Lobell et al. 2007; Deschenes and Kolstad 2011; Jackson et al. 2012)
Tomatoes	Warm-season annual with optimal daytime T up to 35 °C (Hartz et al. 2008b). Future warming may increase (Jackson et al. 2012) or have no effect (Lee et al. 2011) on tomato acreage in CA; can be harmed by heat during pollination and fruit set (Ozores-Hampton et al. 2012)
Broccoli and kin	Cool-season annual; narrow optimal temperature ranges around 18 °C (Daugovish et al. 2008; LeStrange et al. 2010). Deschenes and Kolstad (2011) say CA broccoli production could increase by 39% by 2070, due to warmer winters and northward range expansion.
Carrots	Cool-season annual grown in different parts of CA in different seasons to attain optimal 15°–20 °C temperature range (Nuñez et al. 2008)
Melons	Warm-season annuals with heat tolerance up to 40 °C (Hartz et al. 2008a); acreage may expand in a warmer future (Jackson et al. 2011)
Onions, garlic	Cool-season annuals that achieve optimal growth between 20 and 25 °C (Smith et al. 2011). Few data exist on their climate vulnerability.

Resource 2 provides more detail. Based on this review, and on consultations with California specialty crop experts (Acknowledgements), we categorized crops with regard to their temperature sensitivity (Table 1).

Each crop was assigned two independent sensitivity rankings: one for changes in summer  $T_{\max}$  and one for changes in winter  $T_{\min}$ , on a scale of one (low sensitivity) to four (high sensitivity) (Table 1). Although the sensitivity rankings (1–4) have no direct physical interpretation, we made sure to give similar crops similar scores, and we aimed to place roughly one-quarter of the crops into each of the four categories. Effects on both crop yield and quality were taken into account: for example, a summer heat wave may cause flower abortion and subsequent low yield in tomatoes (Ozores-Hampton et al. 2012), while in lettuce, it may cause bitterness and unmarketability (Smith et al. 2011).

If a predicted temperature change may benefit a crop (e.g., less freeze damage to citrus), we nevertheless designated that crop “low sensitivity,” because we define “sensitivity” as potential for harm. In practice, a crop’s sensitivity depends upon whether a given temperature change falls within or outside the crop’s optimum range. That may depend on where the crop is grown. For example, tomatoes are heat-resistant, but they are already grown in areas with high summer  $T_{\max}$ , so they may be vulnerable. We attempted to account for this when choosing these subjective sensitivities.

The approximate and ordinal nature of the sensitivity rankings (1, 2, 3, 4) compelled us to test whether our results depended on choice of numerical scale. As shown in Online Resource 1 (Figs. S5–S11), we repeated the calculations with an “expanded” sensitivity scale (0.5, 1, 2, 4) to exaggerate differences between crops and a “condensed” scale (2.5, 3.0, 3.5, 4.0) to minimize differences.

We grounded our analysis in basic data from the most recent USDA Census of Agriculture (NASS 2014), including county-by-county data on bearing acres of each of the 14 specialty crops we considered. We examined each of California’s 58 counties (only four of which do not have significant specialty crop production), plus one county in Arizona (Yuma County) that is a major producer of specialty crops and has similar climatic characteristics to adjacent Imperial County in California. We performed three calculations for each county: *exposure* to temperature increase; crop *sensitivity* to increasing temperatures; and finally the potential *impact* of temperature increase, according to the total crop acreage or crop value that will be exposed to the changes.

## 2.2 Exposure analysis

We calculated the exposure of each county to climate change using projected future temperatures for 2040–2069. We used temperature projections from 20 general circulation models of the Coupled Model Intercomparison Project 5 (CMIP5) using the Representative Concentration Pathway 8.5 (RCP 8.5) (Abatzoglou 2013). We focused on two temperature metrics: (1) change in summer maximum temperature (June, July, August), when many crops are vulnerable to heat stress and (2) change in winter minimum temperatures (December, January, February), especially relevant to perennial crops that require chill-hours (cumulative hours below 7.2 °C) for optimum yields. We used the downscaled temperature projections of the Multivariate Adaptive Constructed Analogs (MACA) data product (Abatzoglou and Brown 2012). The 4-km grid of temperature changes was aggregated to the county level for each season by calculating the mean temperature change of the pixels composing each county.

### 2.3 Sensitivity analysis

To calculate the sensitivity of specialty crops in each county, we first multiplied the acreage of each crop by that crop’s sensitivity index. Next, we summed the county-crop sensitivities for all 14 specialty crops per county, and finally, we divided this by the total acres of specialty crops grown in the county (Eq. 1). We also created an alternate sensitivity metric scaled by crop value per acre (Eq. 2). These calculations were performed twice, once for winter  $T_{min}$  and once for summer  $T_{max}$ . Raw scores for sensitivity were then grouped into five categories (1 = low, 5 = high) using Jenks Natural Breaks, an algorithm that minimizes difference within classes while maximizing difference between classes. (category 0 denotes the four California counties lacking significant specialty crop production: Alpine, Mono, Sierra, and San Francisco.)

### 2.4 Impact analysis

To estimate which specialty crop-producing counties are likely to be most impacted by changing temperatures, we multiplied each county’s overall sensitivity by its temperature exposure and total specialty crop acreage (and, to create a value- rather than area-based result, also by value per acre). This was then summed for 14 crops in each county to yield a dimensionless ranking of “absolute impact” by county (Eqs. 3 and 4). The absolute impact numbers were then normalized by specialty crop acreage or value in each county to calculate a complementary metric, “relative impact” (Eqs. 5 and 6). We grouped these results for all 55 counties using Jenks Natural Breaks on a scale of 1 (low) to 5 (high).

Area-based T sensitivity:

$$\left(\sum A_{crop} \times S_{crop}\right) / A_{cty} \tag{1}$$

Value-based T sensitivity:

$$\left(\sum A_{crop} \times S_{crop} \times V_{acre}\right) / A_{cty} \times V_{acre} \tag{2}$$

Area-based absolute impact:

$$\sum A_{crop} \times S_{crop} \times \Delta T \tag{3}$$

Value-based absolute impact:

$$\sum A_{crop} \times S_{crop} \times \Delta T \times V_{acre} \tag{4}$$

Area-based relative impact:

$$\left(\sum A_{crop} \times S_{crop} \times \Delta T\right) / A_{cty} \tag{5}$$

Value-based relative impact:

$$\left(\sum A_{crop} \times S_{crop} \times \Delta T \times V_{acre}\right) / A_{cty} \times V_{acre} \tag{6}$$

- $A_{crop}$  is the acres of a specific crop in the county
- $S_{crop}$  is the sensitivity index for the crop
- $A_{cty}$  is the acres of all specialty crops in the county

$V_{acre}$  is the crop's value per acre

$\Delta T$  is the projected temperature change for the county (either winter  $T_{min}$  or summer  $T_{max}$ )

Using these components in our calculations allows us to examine regional vulnerability from several different angles: by acreage and by value, in an absolute sense, and relative to the amount of specialty crop area in the county. Overall, this builds a multifaceted picture of how changing temperatures may affect the region's agriculture. For example, acreage is the most relevant metric when considering ecosystem services of crop production, while crop value becomes relevant in a cost-benefit analysis of adaptation options. Likewise, the *absolute* impact of rising temperatures is relevant in state-level planning, while a disproportionately large *relative* impact in a county will be of special concern to the affected population—especially for counties that derive much of their economic output from specialty crops (Fig. S4).

### 3 Results

To supplement the color-coded results maps, we provide an appendix of county-by-county numerical results (Online Resource 3) and a map with county names to aid interpretation (Fig. S3).

#### 3.1 Exposure results

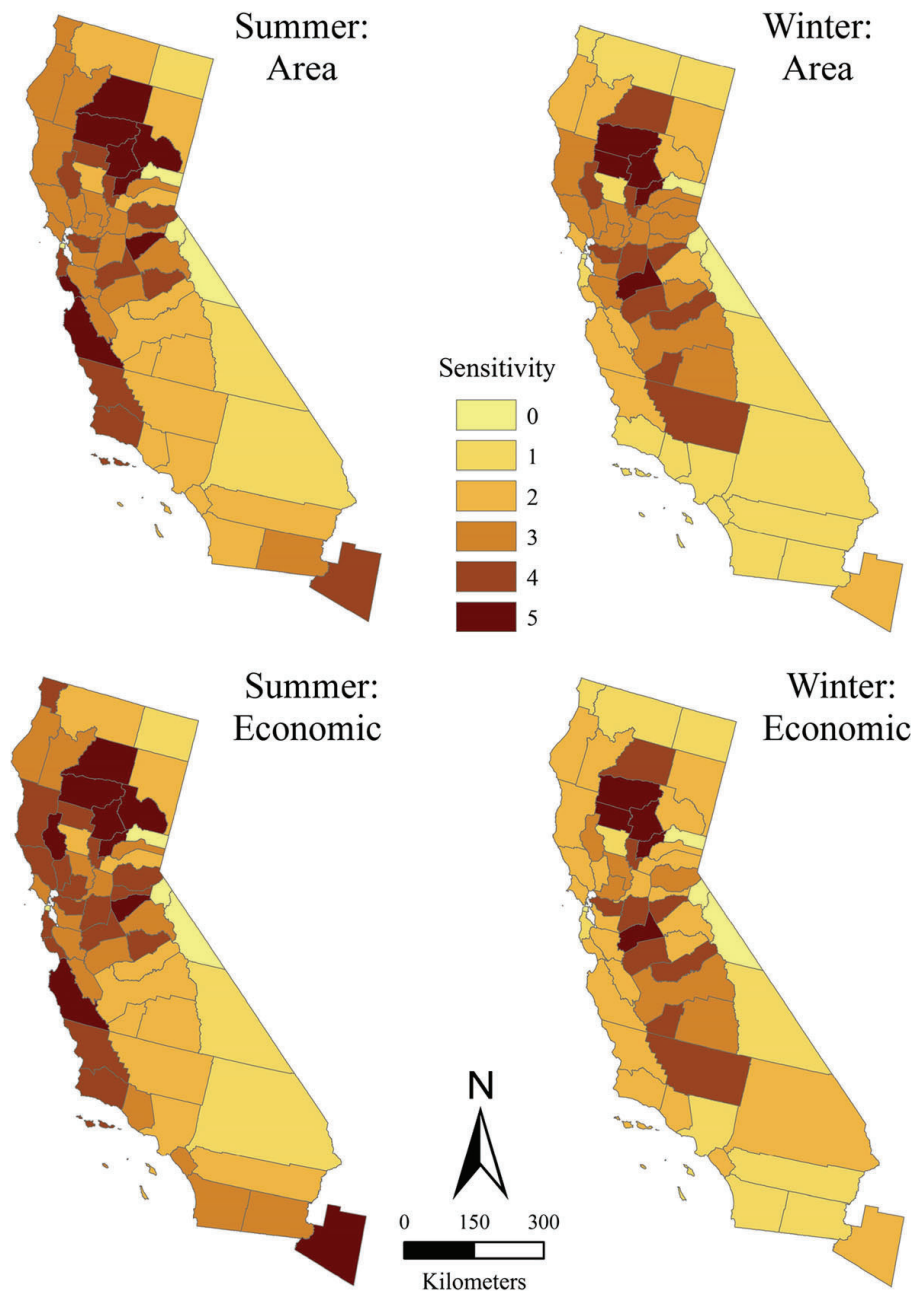
Predicted increases in summer maximum temperature were moderated by proximity to the Pacific Ocean; coastal counties generally saw a  $\sim 2$  °C increase in summer  $T_{max}$  by 2040–2069, while inland and mountain counties saw a nearly 3 °C increase. Central Valley counties had a summer  $T_{max}$  increase of roughly 2.5 °C. Exposure to increases in winter  $T_{min}$  were generally similar in pattern but greater in magnitude (2.5 °C on the coast to 4 °C in the mountains), and the inland desert counties Imperial and Yuma experienced disproportionately greater changes (Fig. S2). Dynamics of coastal fog are complex and are not always well represented by climate models (O'Brien et al. 2012), so the results for coastal counties (especially for winter  $T_{min}$ ) should be considered somewhat uncertain.

#### 3.2 Sensitivity results

##### 3.2.1 Area- and value-based sensitivity

On an acreage basis, Plumas, Butte, Yuba, and Santa Cruz Counties had the highest sensitivity to changes in summer  $T_{max}$ . The top 10 most sensitive counties also included Monterey (notably ranked no. 3 in total specialty crop production) and Yuma (ranked no. 10). For winter  $T_{min}$  sensitivity, the Central Valley figured prominently: 9 of the 10 top-ranked counties were in the Central Valley (mostly the Sacramento Valley), compared to only 5 of 10 counties for summer  $T_{max}$ . Normalizing by crop value rather than area caused only minor changes in county ranking (Fig. 1). Value-based calculations slightly highlighted several counties producing high-value strawberries (such as Orange and Ventura Counties in Fig. 4d), but otherwise, trends were similar.



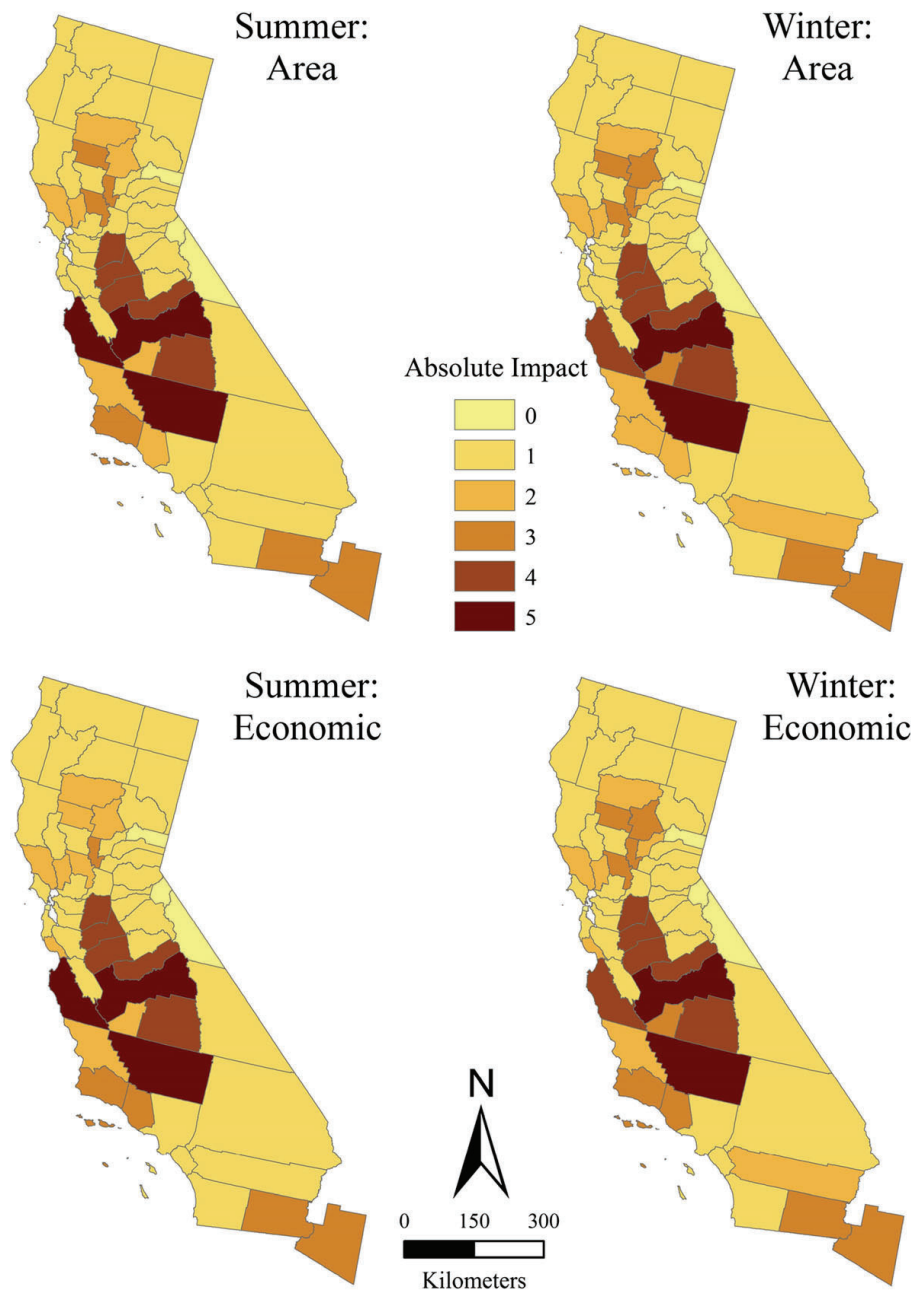


**Fig. 1** Specialty crop sensitivity to changing temperatures, aggregated at the county level. 1 = low, 5 = high sensitivity; 0 = no specialty crops. See text for details

### 3.3 Impact results

#### 3.3.1 Absolute impact

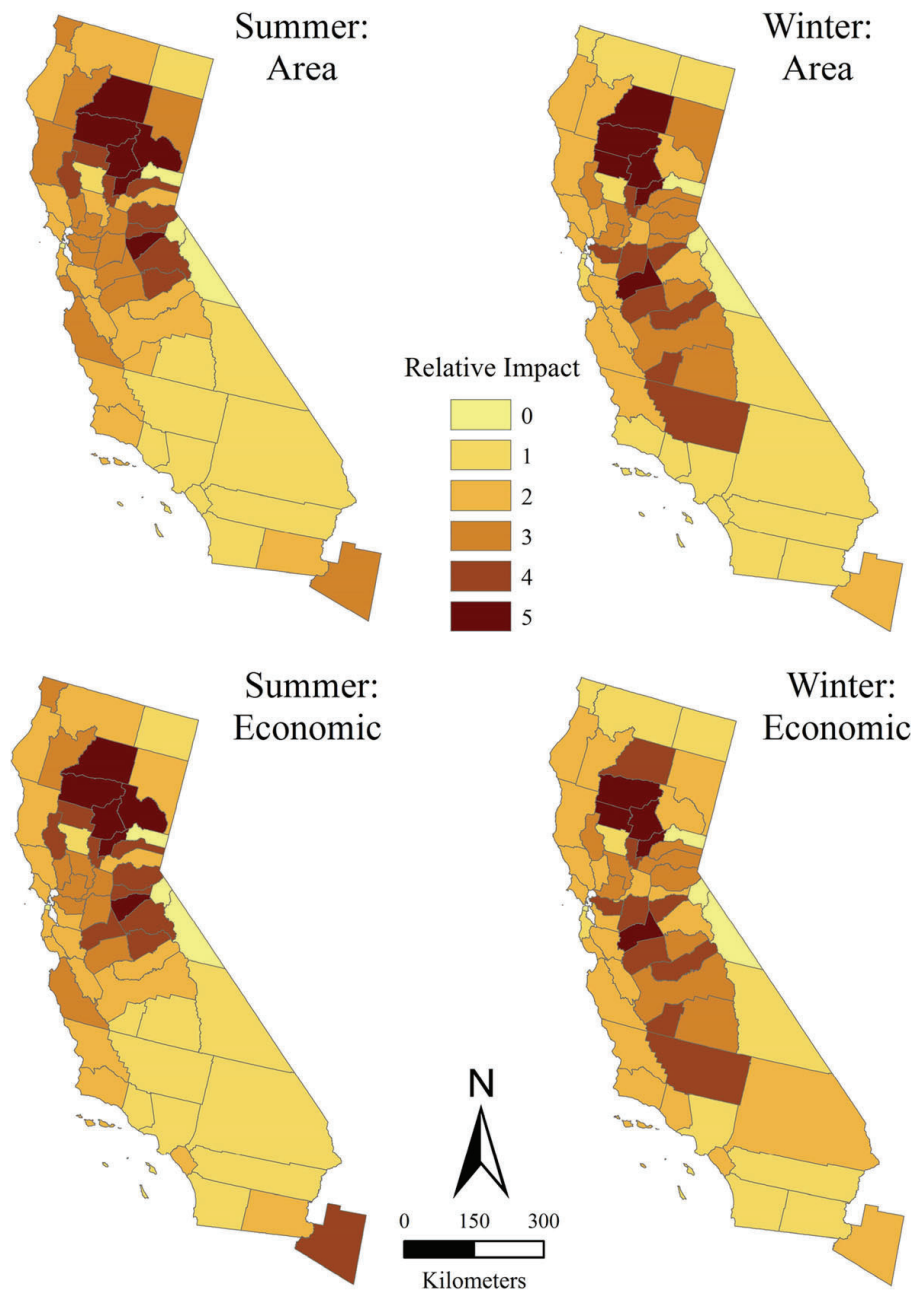
Because we defined “absolute impact” as a function of total specialty crop acreage in each county, the largest absolute impacts were seen in the counties with the greatest specialty crop production. The highest absolute impacts were seen in the San Joaquin Valley (especially Fresno and Kern Counties, respectively the first and second-ranked specialty crop-producing counties) and the Central Coast (especially Monterey, the third-ranked county). This pattern was seen both in winter and summer, for both area-based and economic impact (Fig. 2).



**Fig. 2** Absolute impact of changing temperatures on specialty crops, aggregated at the county level. 1 = low, 5 = high sensitivity; 0 = no specialty crops. See text for details

### 3.3.2 Relative impact

Because we normalized “relative impact” by total specialty crop acreage in each county, the relative impact results highlighted counties with particularly sensitive specialty crops and/or particularly extreme temperature changes (Fig. 3). In our area-based calculations, the relative impact of summer  $T_{\max}$  changes was most pronounced in the northern Sacramento Valley (Plumas, Butte, Yuba, and Shasta Counties). Area-based impact of winter  $T_{\min}$  changes followed a broadly similar pattern but with large impacts also seen further south in the San Joaquin Valley (especially Madera and Stanislaus Counties). No counties ranked in the top 10 for both *absolute* and *relative* impacts of summer  $T_{\max}$ ; however, there were five counties that appeared on both the *absolute* and *relative* top 10 list for winter  $T_{\min}$ : Glenn, Stanislaus, Sutter, Madera, and Merced.

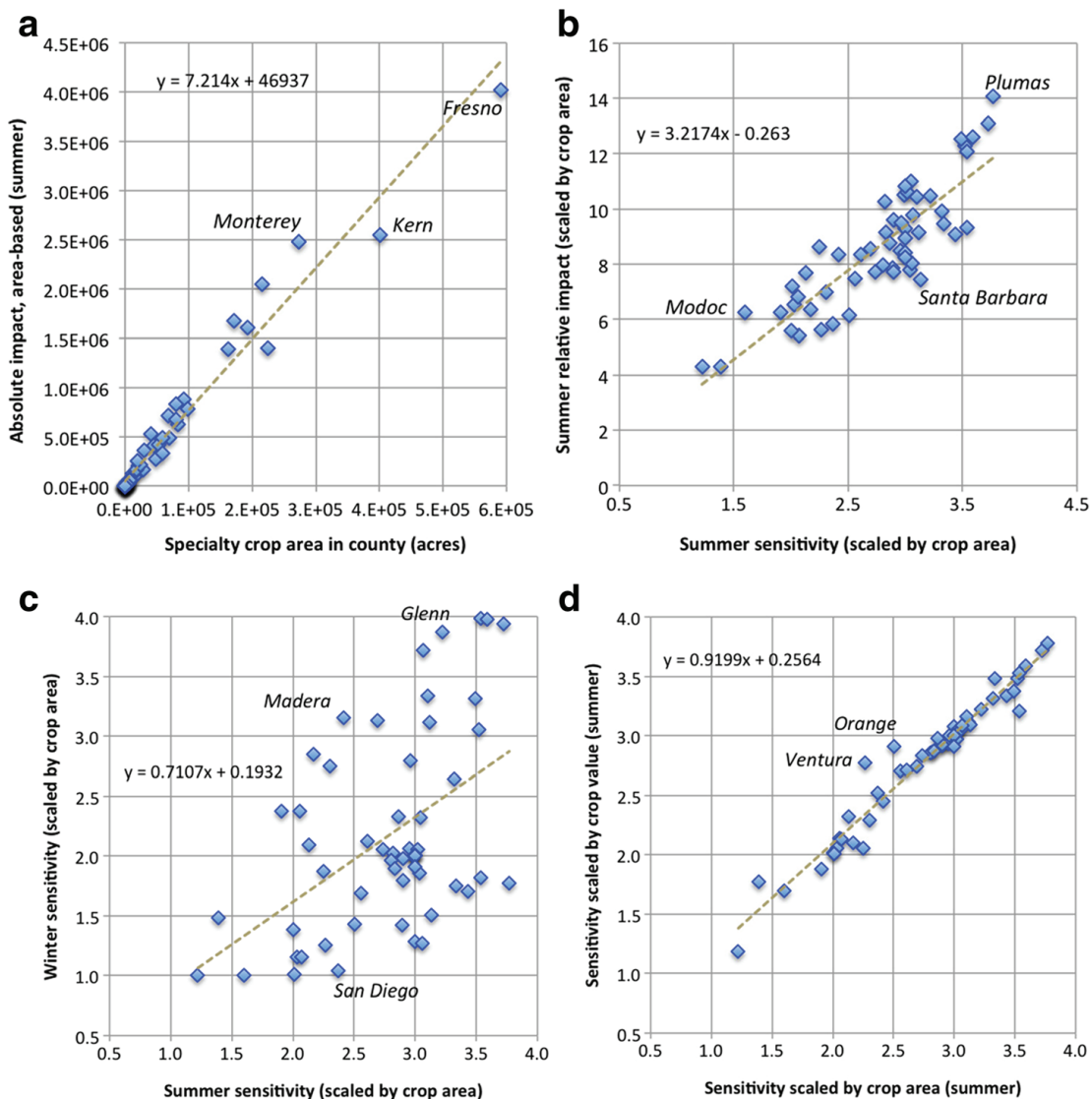


**Fig. 3** Relative impact of changing temperatures on specialty crops, aggregated at the county level. 1 = low, 5 = high sensitivity; 0 = no specialty crops. See text for details

Most counties had winter impacts that were greater than or equal to summer impacts. For example, the southern counties of the Central Valley exhibited higher potential impacts for winter than summer, while the northern counties of the Central Valley had similar impacts in summer and in winter.

### 3.3.3 Correlations between different metrics

We calculated correlations between our different metrics in order to clarify their interdependence and visualize differences between them (Fig. 4). We calculated both Pearson  $R^2$  (used for continuous data) and Spearman's  $\rho$  (used for rank values). These produced comparable



**Fig. 4** Selected correlations between variables. **a** Crop area vs. area-based absolute impact ( $R^2 = 0.97$ ,  $\rho = 0.98$ ). **b** Climate sensitivity vs. area-based relative impact ( $R^2 = 0.85$ ,  $\rho = 0.83$ ). **c** Area-based sensitivity in summer vs. in winter ( $R^2 = 0.50$ ,  $\rho = 0.43$ ). **d** Area-based sensitivity vs. value-based sensitivity ( $R^2 = 0.98$ ,  $\rho = 0.98$ ). See text for definitions

results (Fig. 4), showing that the relationship between different impact metrics did not closely depend on whether the crop sensitivity numbers are interpreted as ordinal versus interval.

The correlation between absolute impact and specialty crop acreage was very strong (e.g.,  $R^2 = 0.97$  for summer area-based impact). However, there was little correlation between absolute impact and relative impact (e.g.,  $R^2 = 0.37$  for winter area-based impact; data not shown). Correlation between sensitivity and relative impact was strong but with some variability (e.g.,  $R^2 = 0.86$  for summer area-based). Correlation between area-based and value-based metrics was fairly tight (e.g.,  $R^2 = 0.98$  for summer sensitivity), but with some slight outliers due to the high value of strawberries. Finally, the correlation between summer and winter metrics was positive and moderately strong (e.g.,  $R^2 = 0.50$  for area-based sensitivity). The slope of these lines, indicating the ratio of summer to winter impacts, was less than unity (e.g., slope = 0.71 for area-based sensitivity).

## 4 Discussion

This analysis demonstrates several different approaches to estimating crop vulnerability that are spatially explicit at a scale relevant to growers, farm advisors, and state and local policymakers; are straightforward enough to be widely used and interpreted; and are underpinned by detailed data on temperature responses of diverse crop species. Below, we explore some mechanisms underlying our results.

### 4.1 Interpretation of results

#### 4.1.1 Sensitivity

Area-based summer sensitivity results are dominated by heat-averse crops in the coastal counties (strawberries, lettuce, broccoli) and the Sacramento Valley (almond and walnut trees) (Fig. 1). Winter sensitivity results highlight the San Joaquin and Sacramento Valleys, where nut trees and stone fruit are vulnerable to a loss of winter chill-hours. For example, Fig. 4c shows Glenn and Madera counties (dominated by almonds, pistachios, and walnuts) with among the highest winter sensitivities, while San Diego (dominated by avocados) is among the lowest. These patterns persist under a value-based analysis.

#### 4.1.2 Absolute impact

Absolute impact values (Fig. 2) are largely determined by specialty crop acreage, but several counties depart from expectations (Fig. 4a). For example, for summer area-based impact, Kern County falls below the line, due to its prevalence of citrus and table/raisin grapes, each of which have a summer  $T_{\max}$  sensitivity of 1 (low). By contrast, Monterey County falls above the line: it is the state's largest producer of cole crops and strawberries, each of which has a summer  $T_{\max}$  sensitivity of 3.

#### 4.1.3 Relative impact

The relative impact results resemble the sensitivity results but are modulated by projected temperature changes: for example, the coastal counties' high sensitivity does not translate into high relative impact, because temperature changes on the coast will be consistently mild. This can be seen in Fig. 4b, where coastal Santa Barbara County has a lower-than-expected relative impact, while inland mountainous counties Plumas and Modoc have higher-than-expected impacts. In the area-based relative impact results, differences emerge between summer and winter (Fig. 3): summer impacts are concentrated in the northern Sacramento Valley, perhaps due to heat-sensitive stone fruit and almonds (each with a summer  $T_{\max}$  rating of 3), whereas in the winter, the whole Central Valley is equally at risk.

### 4.2 Limitations of this approach

Although the simplicity of this approach is in some ways an advantage, there are several caveats and limitations. First, we do not include other aspects of climate change, such as changes in water availability, changes in storms and other extreme events, or increasing  $\text{CO}_2$  concentrations. Limited and uncertain supply of both surface water and groundwater is an

important constraint on California agriculture (Mukherjee and Schwabe 2014), and water availability will likely change under future climate due to changes in precipitation and evaporative demand. However, the exact patterns of changing water availability are difficult to predict, not only due to inherent uncertainties in climate modeling (CCTAG 2015) but also due to complexities of water policy in California (Joyce et al. 2011). Medellín-Azuara et al. (2011) concluded “the major effects of climate change on California agriculture are manifest through water shortages.”

Second, the use of subjective temperature sensitivity metrics for each crop (on a scale of 1 to 4) prioritizes simplicity and ease of interpretation, but a single integer cannot fully describe a crop’s climate response. For example, Lobell and Field (2011) found that warmer February nights led to a shorter blooming window for almonds, which reduced pollination success, which reduced yields. Despite these limitations, we attained fundamentally consistent vulnerability results even using different numerical sensitivity rankings (Online Resource 1). Thus, we propose that county-by-county impact ranking can be used to help prioritize creation of more objective and detailed outputs, such as changes in crop yields (tons/acre) or net impact on the county economy.

Because accurate prediction of particular effects is difficult, flexible solutions are essential. Our analysis did not attempt to model adaptation capacity, but for many California crops, a variety of adaptation options are available (California Department of Food and Agriculture 2013). Highly managed agroecosystems have inherent adaptation advantages over natural ecosystems; for example, crops have been bred for climatic stress tolerance, and this breeding can be further targeted in the future.

## 5 Conclusions

### 5.1 Comparison with previous work

To our knowledge, this is the first statewide spatial temperature vulnerability analysis of California’s top annual and perennial specialty crops based on their physiological characteristics. Jackson et al. (2012) performed a spatially explicit statewide analysis, but they used total acreage of each crop as a proxy for its climate sensitivity. Lobell et al. examined impacts of historical climate (2007) and expected future climate (2006) on California’s top perennial specialty crops but did not present the information spatially. Lee et al. (2011) estimated future yield changes in major California crops but presented the results by latitude. Medellín-Azuara et al. (2011) predicted climate-driven acreage changes of California’s dominant crops, but they aggregated the state into four regions. Lee and Sumner (2015) focused only on Yolo County. We suggest that our results present a useful assessment of potential temperature impacts on specialty crops, spatially summarized for agricultural stakeholders.

Our results and conclusions concur with the above work in many ways: there is no single locus of agricultural vulnerability in California; different crops respond in markedly different ways; and chill-sensitive perennial crops in the Central Valley are of special concern. However, the choice of metric (relative versus absolute, winter versus summer, and area- versus value-based) influences the spatial pattern of specialty crop vulnerability. Although perennial specialty crops have attracted the most attention so far (Luedeling et al. 2009; Lobell and Field 2011; Nicholas and Durham 2012), heat-sensitive annual crops (especially strawberries, cole crops, and lettuce) are a strong driver of vulnerability in California’s coastal counties.

Using crop sensitivity and economic value to create a spatial ranking of potential climate change impacts can help prioritize which regions to examine more rigorously. More in-depth analysis could combine projected climate change conditions with dynamic economic models and crop physiological constraints to construct climate change adaptation scenarios and examine their resilience, similarly to Lee and Sumner (2015) and Acosta et al. (2013).

## 5.2 Opportunities for future research

We observed a lack of published data on climate relationships for many of these crops in California—notably onions, garlic, carrots, and avocados. A database aggregating known climate relationships for specialty crops would be useful. Ideally, such data would include crucial aspects of crop quality as well as yield (Ahmed and Stepp 2016) for sensitive crops such as wine grapes (Duchene et al. 2010). These data could inform qualitative investigations of vulnerability and help parameterize quantitative, process-based simulation models to predict the growth and yield of specialty crops under different conditions. For most specialty crops, such models do not currently exist. In the future, specialty crop simulation models could play a role in large-scale integrated modeling projects such as AgMIP (the Agricultural Model Intercomparison Project; [www.agmip.org](http://www.agmip.org)), which currently includes almost exclusively cereals and pulses.

Another knowledge gap is the interplay of climatic and economic forces in California. For example, because melons are heat- and drought-tolerant, they could replace more sensitive crops such as cherries and almonds (Jackson et al. 2011). However, consumer demand for melons is static and inelastic, so increasing melon production may lead to price crashes or unsold product (T. Hartz, pers. comm., 22 December 2014). As another example, one might expect rising temperatures to harm strawberries most in already-warm Southern California, but warmer temperatures could actually boost Southern California strawberry yields in winter, when prices are highest (O. Daugovish, pers. comm., 7 January 2015). This underscores the need to consider both climatic and economic effects whenever possible. Although our study does not explicitly include economic effects, we expect our results will still be useful to highlight particularly vulnerable counties that deserve in-depth economic modeling.

On a related note, there is insufficient research on the role of climate in farmer decision-making in California (Nicholas and Durham 2012; Niles et al. 2013) and countrywide (Mase and Prokopy 2014). One California study suggested that farmers were more likely to pursue adaptation actions if they perceived climate change as being a proximate and relevant phenomenon, but many did not (Haden et al. 2012). A better understanding of how specialty crop farmers perceive and adapt to climate change—perhaps via surveys, interviews, focus groups, and case studies—will allow for more accurate analysis of impacts and appropriate interventions.

Finally, development and validation of models that reflect crop-relevant interactions between changes in temperature and changes in water availability (in terms of direct effects on precipitation, and indirect effects via water supply and infrastructure) will facilitate development of climate adaptation strategies. Complex interactions are likely; for example, reducing Central Valley irrigation due to water shortages may exacerbate regional heat stress (Lobell and Bonfils 2008). Despite the uncertainties in how California agriculture will shift with a changing climate, the industry will likely face significant climate-related constraints (Schlenker et al. 2007), and a timely understanding of future challenges will allow producers to select from a toolkit of appropriate adaptation measures (California Department of Food and Agriculture 2013).

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**Author contribution** EE conceived the analysis; AK and KS performed literature review; EE, JD, and AK procured quantitative data; JD, AK, NLB, and EE performed analysis and generated figures; AK, KS, JD, and EE wrote the manuscript.

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